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## Directional spread of an $\alpha$ -herpesvirus in the nervous system

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### Abstract

Pseudorabies virus (PRV), an  $\alpha$ -herpesvirus, is capable of spreading between synaptically connected neurons in diverse hosts. In this report, two lines of experimentation are summarized that provide insight into the mechanism of virus spread in neurons. First, techniques were developed to measure the transport dynamics of capsids in infected neurons. Individual viral capsids labeled with green fluorescent protein (GFP) were visualized and tracked as they moved in axons away from infected neuronal cell bodies in culture during egress. Second, the effects of three viral membrane proteins (gE, gI and Us9) on the localization of envelope, tegument, and capsid proteins in infected, cultured sympathetic neurons were determined. These three proteins are necessary for spread of infection from pre-synaptic neurons to post-synaptic neurons in vivo (anterograde spread). Us9 mutants apparently are defective in anterograde spread in neural circuits because essential viral membrane proteins such as gB are not transported to axon terminals to facilitate spread to the connected neuron. By contrast, gE and gI mutants manifest their phenotype because these proteins most likely function at the axon terminal of the infected neuron to promote spread. These two sets of experiments are consistent with a model for herpesvirus spread in neurons first suggested by Cunningham and colleagues where capsids and envelope proteins, but not whole virions, are transported separately into the axon. © 2002 Published by Elsevier Science B.V.

*Keywords:* Axonal transport; Virus assembly; Membrane proteins; Herpesvirus; Pseudorabies virus

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### 1. Introduction

Most  $\alpha$ -herpesviruses (e.g. herpes simplex virus, HSV; varicella-zoster virus, VZV; bovine herpes virus, BHV, and pseudorabies virus, PRV) are parasites of the peripheral nervous system (PNS) in their natural hosts. One remarkable feature of the  $\alpha$ -herpesvirus life cycle is that after entering the PNS of their natural hosts, infection rarely spreads to the

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35 central nervous system (CNS). Instead, a reactivatable, latent infection is established in  
36 PNS ganglia. Normally, these PNS infections are relatively benign. However, when  
37 neonates or immunocompromised individuals experience infection, disseminated disease,  
38 often characterized by fatal CNS invasion, is a common outcome. In the case of PRV, fatal  
39 or debilitating CNS infection is a common result of infection of baby pigs and dead-end  
40 hosts (e.g. infection of cattle, dogs, and rodents).

41 In this report, we focus on the mechanisms by which PRV spreads in the nervous system  
42 of lab animals (rodents and chicken embryos). Infection of natural hosts usually initiates on  
43 exposed mucosal surfaces followed by invasion of PNS nerve termini that innervate the site  
44 of infection. When viral DNA reaches the nuclei of PNS neurons in ganglia, a latent  
45 infection is established within days. The latent infection can be reactivated and as a result  
46 virus almost always spreads back to mucosal surfaces where the primary infection began;  
47 spread to the CNS is exceedingly rare. Experiments discussed in this report are directed  
48 toward answering several basic questions: What are the virus- and cell-encoded mechan-  
49 isms that direct virus into and back out of the PNS? Why does the virus only occasionally  
50 enter the CNS in its natural host and almost always in non-natural hosts? What are the viral  
51 gene products that promote disease and how do they work? How do the PNS and CNS  
52 respond to viral infection? These basic questions continue to lead us into exciting (and  
53 sometimes unexpected) areas.

## 54 **2. Material and methods**

### 55 *2.1. Virus propagation*

56 Virus stocks were produced using the porcine kidney cell line PK15 cell line as  
57 previously described (Whealy et al., 1993). The laboratory strain PRV Becker (PRV-  
58 Be) was used as the wild type and parent for all virus constructions (Card et al., 1990). PRV  
59 160 (Us9 null) has been described (Brideau et al., 2000a,b). PRV 91 (gE null) has been  
60 described (Whealy et al., 1993). PRV 160R is a revertant of PRV 160 that restores all tested  
61 wild-type virus phenotypes (Brideau et al., 2000a). The PRV-Becker *UL35* gene was  
62 cloned and a recombinant virus, PRV-GS443, carrying the fusion allele in place of the wild-  
63 type *UL35* gene was made using the pBecker3 infectious *E. coli* clone (Smith and Enquist,  
64 2000; Smith et al., 2001) RV 368 carries both the Us9 null mutation from PRV 160 and the  
65 *GFP-VP26* hybrid gene from PRV-GS443 replacing the *VP26* gene. PRV 368 was isolated  
66 as a recombinant arising after co-infection of PRV 160 and PRV-GS443 (Tomishima and  
67 Enquist, submitted for publication).

### 68 *2.2. Neuron culture and infection*

69 For video confocal microscopy: dissociated sensory neurons from the dorsal root ganglia  
70 (DRG) of E8–E10 chick embryos were seeded on 22 mm square glass coverslips pretreated  
71 with polyornithine at ~100 neurons per coverslip as described by Smith et al. (2001). The  
72 neurons were cultured for 3–5 days to allow for axon outgrowth at which time they were  
73 infected with PRV-GS443. The number of neurons on each coverslip was low to prevent

74 axons from contacting each other. As a result, most of the input virions bound directly to  
75 the coated coverslip and never came into contact with the neurons.

76 Rat sympathetic neurons from the superior cervical ganglia (SCG) of rat embryos (15–  
77 16 day gestation) were dissociated according to the methods of DiCicco-Bloom et al.  
78 (1993). The 35 mm plastic dishes were coated with 100 µg/ml poly-D-lysine, and approxi-  
79 mately 80,000 neurons were put down on each dish. Dividing cells were eliminated by  
80 adding cytosine-β-D-arabino-furanoside, to 2 µM from days 2–3 and 5–6.

81 Experimental protocols were approved by the Animal Welfare Committee at Princeton  
82 University and were consistent with the regulations of the American Association for  
83 Accreditation of Laboratory Animal Care and those in the Animal Welfare Act (public law  
84 99-198).

### 85 2.3. *Confocal video microscopy*

86 For time-lapse recording of living cells, individual coverslips of infected chick embryo  
87 neurons were sealed onto a glass slide as described by Smith et al. (2001). GFP emissions  
88 from infected neurons at 37 °C were detected with a Zeiss 510 laser scanning confocal  
89 microscope fitted with a heated stage and a heated 63× 1.4NA oil objective. Excitation was  
90 at 488 nm with an argon laser, and up to 1000 frames were captured per recording.

### 91 2.4. *Indirect immunofluorescence microscopy*

92 Chick neurons were cultured as described above. Rat neurons were cultured in 35 mm  
93 plastic dishes for at least 3 weeks as described above. Cultures were infected as described  
94 above for chick neurons. At various times after infection, neurons were rinsed with  
95 phosphate buffered saline (PBS), fixed with 3.2% paraformaldehyde, and then rinsed three  
96 times with PBS. The neurons were permeabilized for 3 min in PBS containing 3% BSA and  
97 0.5% saponin. Fixed cells were then incubated with the appropriate primary antibody for  
98 1 h in a humidified chamber at 37 °C, incubated with Alexa 488- or Alexa 568-conjugated  
99 secondary antibodies (Molecular Probes) for 1 h in a humidified chamber at 37 °C, and  
100 rinsed three times with PBS/BSA/saponin and once with distilled water. A drop of  
101 Vectashield Mounting Medium (Vector Laboratories Inc., H 1000) was placed in the  
102 floor of the dish, and then a coverslip was placed on the Vectashield and sealed with nail  
103 polish. GFP-capsids were excited with a 488 nm argon laser, and Alexa 546 was excited  
104 with a 543 nm HeNe laser. Single optical sections were captured using a Nikon Optiphot-2  
105 microscope equipped with a BioRad MRC600 scan head.

## 106 3. Results

### 107 3.1. *Visualizing axonal transport of newly replicated capsids in living sensory neurons*

108 This work has been described recently in Smith et al. (2001). The DNA of all α-  
109 herpesviruses is packaged in an icosahedral capsid shell made up of four virally encoded  
110 proteins, VP5, VP26, VP19C and VP23. VP5 is the major capsid protein and forms the

111 capsid hexons and pentons. VP26 binds to the outer surface of hexons, but not pentons,  
112 while VP19C and VP23 are part of the heterotrimeric complex joining VP5 hexons  
113 (Conway et al., 1996; Schrag et al., 1989; Zhou et al., 1999). An assortment of additional  
114 viral proteins, collectively called the tegument, surround the capsid, which in turn is  
115 enclosed by a lipid bilayer containing many virally encoded membrane proteins. When  
116 green fluorescent protein (GFP) is fused to the N-terminus of VP26 in HSV-1 or PRV, the  
117 fusion protein not only is incorporated into capsids, but also has no detectable effect on  
118 assembly and growth of infectious virus in cultured cells (Desai and Person, 1998; Smith  
119 et al., 2001). The GFP-VP26 containing capsids are stable, easily purified, and, indeed,  
120 individual capsids emit sufficient fluorescence to be observed as point sources of light that  
121 can be visualized with the short exposure times necessary to perform rapid time-lapse  
122 microscopy (Smith et al., 2001).

### 123 3.2. Kinetic analysis of capsids moving in axons

124 As reported by Smith et al. (2001) newly replicated PRV capsids entering axons have net  
125 anterograde movement (i.e. from the cell body to the axon terminus; Fig. 1; Smith et al.,  
126 2001). Despite this net anterograde movement, capsid motility is bi-directional. Indeed, at  
127 any given instant, about 1 capsid in 7 moves back toward the cell body (retrograde  
128 movement). About 1/3 of the capsids move, stop and move again in the same direction.  
129 Reversals of movement are near instantaneous; there is no obvious lag before reverse  
130 movement begins (Fig. 2). The velocity of capsid movement in the anterograde direction is  
131  $1.97 \mu\text{m/s}$  and the reverse velocity in the retrograde direction is  $1.28 \mu\text{m/s}$ . These speeds  
132 are compatible with known motion produced by kinesin motors (fast anterograde move-  
133 ment) and dynein motors (fast retrograde movement). Therefore, not only do anterograde  
134 motors associate with each moving capsid, retrograde motors also must be present to  
135 account for rapid reversals. A noteworthy finding was that the distance traveled in either the  
136 anterograde or retrograde direction was rather long (about  $10 \mu\text{m}$  on the average for  
137 anterograde and  $7 \mu\text{m}$  for retrograde movement). When the distance traveled in the  
138 anterograde direction was analyzed for many capsids, the data was best fit by a single  
139 decaying exponential equation. This data suggests that at any given time, a capsid has an  
140 equal probability of stalling or reversing no matter how far it has moved. Such processivity  
141 is well known for kinesin and dynein motor movement (Block et al., 1990; Howard et al.,  
142 1989; King and Schroer, 2000). The average distance calculated for anterograde capsid  
143 travel predicts a rather long run length of  $10.7 \pm 1.3 \mu\text{m}$ . A single kinesin motor moving  
144 cargo on microtubules in vitro has a processivity factor of about  $1 \mu\text{m}$  (Block et al., 1990).

### 145 3.3. Anterograde flux of capsids

146 The average number of capsids entering the field of view (per unit time) moving in an  
147 anterograde direction varied significantly from axon to axon, but did not change appreci-  
148 ably with time post infection. The observed anterograde flux within individual axons  
149 displayed a roughly Gaussian distribution and ranged from 0.010 to 0.067 capsids/s, with a  
150 mean of  $0.047 \pm 0.005$  capsids/s (i.e. on average one capsid entered the field every 21 s).  
151 The average retrograde flux was  $0.0072 \pm 0.0015$  capsids/s (i.e. one capsid every 139 s).

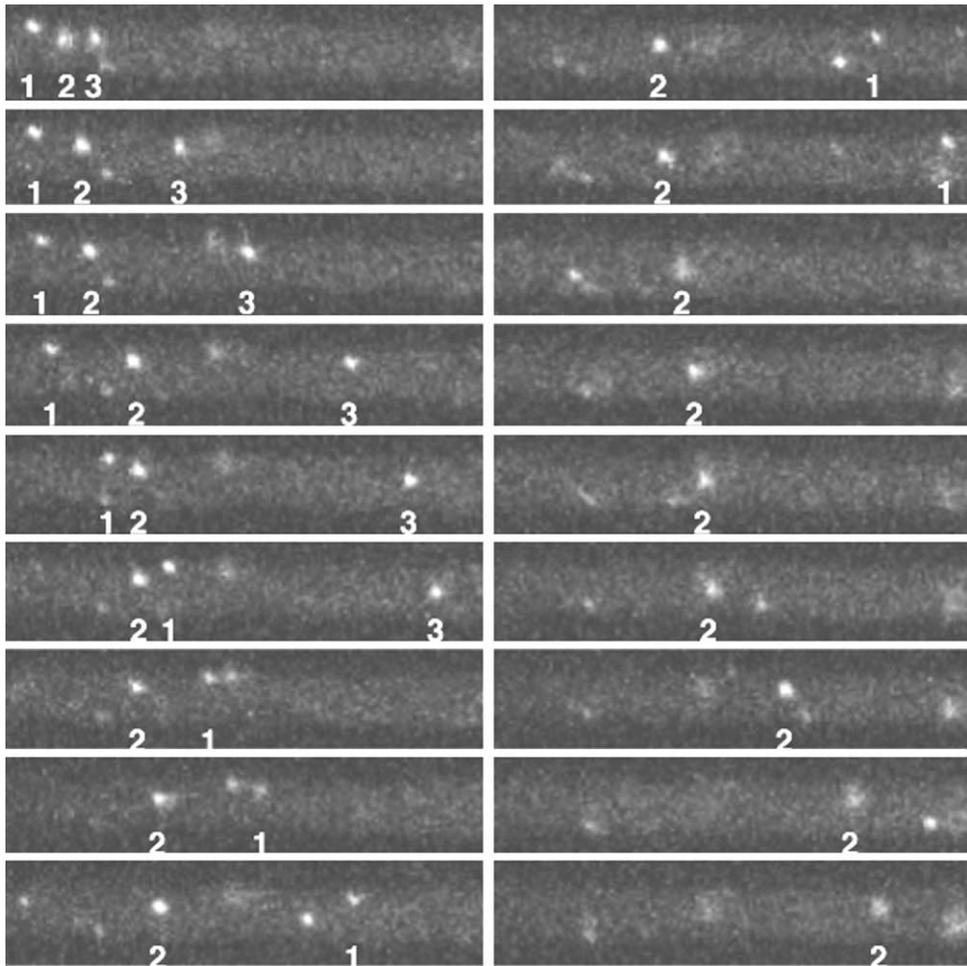


Fig. 1. Capsid transport during egress is saltatory. Time-lapse recording of GFP-capsids during viral egress in an axon of a cultured sensory neuron (11 h after infection). Frames are at 2-s intervals, and are ordered from top to bottom, and left to right. Several capsids progressed through the axon in the anterograde direction (from left to right—the cell body was to the left of the recorded region). Three capsids (numbered 1–3) remained in the focal plane throughout the majority of the recording. The motion of capsids nos. 1 and 2 was saltatory, showing periods of no movement, while capsid no. 3 maintained continuous movement.

#### 152 3.4. Capsids stall in axons as infection proceeds

153 Up to about 8 h after infection, despite copious synthesis of viral proteins in the neuronal  
 154 cell bodies, few, if any virion proteins can be detected in axons. After 10 h of infection,  
 155 capsids were easily detected in axons. Many of these capsids were moving, but some were  
 156 stalled (see Fig. 3, Smith et al., 2001). At 10 h after infection about 5 capsids were stalled  
 157 (not moving during observation) per 30  $\mu\text{m}$  of axon. By 14 h after infection the number of  
 158 stalled capsids had increased about 5- or 6-fold. On average, about 3% of the total

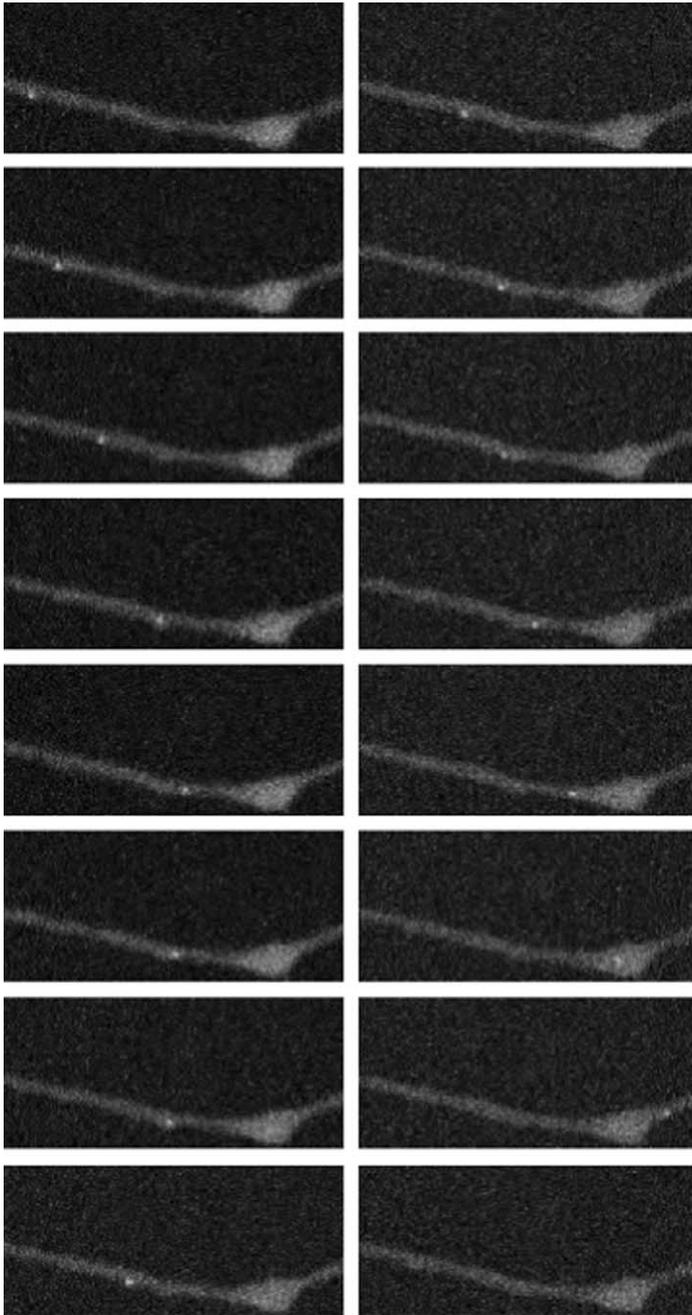


Fig. 2. Capsids reverse direction during egress. Time-lapse recording of a single GFP-capsid undergoing egress in an axon of a cultured sensory neuron (12 h after infection). Frames are 1-s intervals, and are ordered top to bottom, and left to right. The capsids motion begins in the anterograde direction, suddenly reverses but maintains processivity, then returns to anterograde motion leaving the field of view to the right.

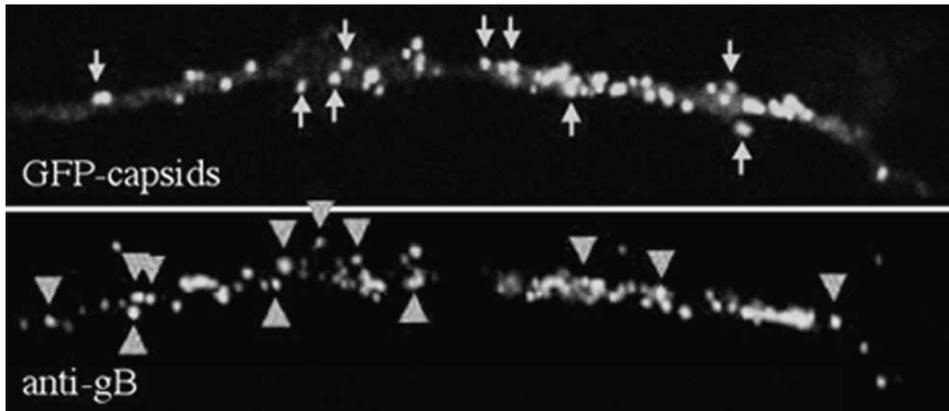


Fig. 3. Localization of capsids and a viral membrane protein in an axon terminal. An axon terminal of a cultured sensory neuron infected with the GFP-capsid virus (upper panel is endogenous GFP signal from capsids) was fixed at 12 h after infection and processed for immunofluorescence for the gB viral membrane protein (lower panel). The axon ends in a growth cone at right. The capsid and gB signal are often colocalized, especially towards the growth cone. In the axon shaft, capsid and gB signals often do not colocalize. Arrows indicate capsids lacking gB signal (upper panel); arrowheads indicate gB puncta not associated with a capsid (lower panel).

159 anterograde capsid flow stop moving during an infection. The majority of capsids tended to  
 160 stall and accumulate in the proximal axon (near the cell body), at varicosities in axons, and  
 161 at axon terminals (see Fig. 4; Smith et al., 2001). An important conclusion from these  
 162 studies is that when observing fixed preparation, one cannot be sure if any given capsid is  
 163 stalled or moving in axons.

### 164 3.5. Capsids accumulate at axon terminals

165 As noted above, capsids move from cell bodies toward axon termini. These termini are  
 166 not sites of synaptic contact as there are no targets for synapse formation in these cultures  
 167 (Smith, 1998). Therefore, it was of some interest to observe what happens when capsids  
 168 reach the axon terminus. As described by Smith et al. (2001) every terminal examined,  
 169 newly arriving capsids were retained and accumulated over time. No capsids reversed  
 170 direction to enter axons after reaching the terminal, and unexpectedly, no capsids could be  
 171 observed emerging from the terminal at any time. The significance of this observation will  
 172 be discussed later.

### 173 3.6. *Us9* mutants provide evidence for separate transport of envelope proteins and 174 capsids in axons

175 Inactivation of any one of three viral membrane proteins (gE, gI and Us9) blocks spread  
 176 of infection from pre-synaptic to post-synaptic neurons in vivo (anterograde spread)  
 177 (Enquist et al., 1998; Mettenleiter, 2000). Brideau et al. (2000a) argued that Us9 affects a  
 178 different process of virus spread than gE or gI because Us9 null mutants have no phenotype

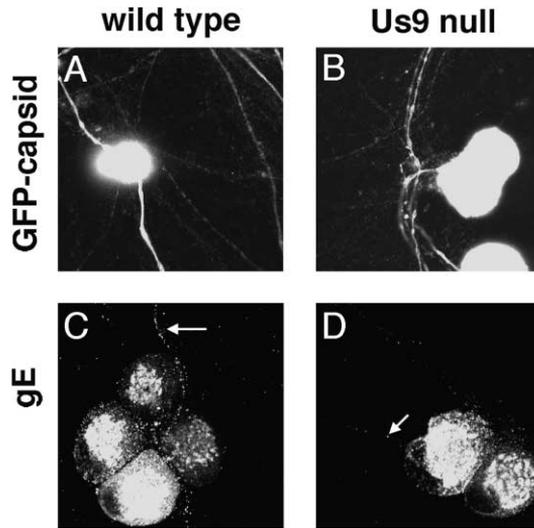


Fig. 4. Us9 is necessary to transport membrane proteins but not capsid into the axon. Dissociated neurons from the rat superior cervical ganglia were allowed to mature in culture for 21 days. In the first experiment, all the neurons in a culture were infected with either GS448 (wild type with GFP-VP26 capsid fusion—A) or PRV 368 (Us9 null virus with GFP-capsid fusion—B) for 8 h, and then were fixed and permeabilized. An antibody against GFP revealed the subcellular localization of capsids. The loss of Us9 expression does not change the localization of capsids (compare A and B). In the second experiment, approximately 10% of the neurons in a culture were infected for 12 h with the wild type or Us9 null virus, and an antibody against gE was used. Us9 null infections led to a defect in the accumulation of gE in the axon compared to the wild type (compare C and D). The arrow in C points to an axon is filled with vesicles containing gE. The arrow in D points to a vesicle that localizes to the axon in Us9 null infections. These vesicles are rare, and do not travel far from the cell body of infected neurons.

179 in tissue culture cells whereas gE/gI null mutants often form smaller plaques. Recently, we  
 180 have obtained evidence that Us9 acts at a different step from gE and gI during virus spread  
 181 in cultured neurons (Tomishima and Enquist, submitted for publication).

182 Us9 is an abundant 98 amino acid type II membrane phosphoprotein. In addition, to  
 183 being a structural protein found in virion envelopes, Us9 is found in infected cell  
 184 membranes, accumulating in small vesicles in or near the trans-Golgi network (Brideau  
 185 et al., 1999). We considered two models to explain the inability of Us9 mutants to spread  
 186 from pre-synaptic to post-synaptic neurons. In the first model, Us9 functions at the synapse  
 187 to promote egress of virions so that the post-synaptic cell can be infected. In the second  
 188 model, the Us9 protein functions at the cell body to promote virion entry into axons. If the  
 189 first model has merit, all viral structural proteins will appear in axons after Us9 mutant  
 190 infection. If the second model is correct, no virion proteins will appear in axons after Us9  
 191 infections. Neurons were infected and at various times after infection with the wild type or  
 192 Us9 mutants and the presence or absence of viral structural proteins in axons was  
 193 determined by immunofluorescence microscopy.

194 The data were consistent with the second model: viral membrane proteins were absent  
 195 from axons after Us9 null mutant infection. However, the second model is not strictly  
 196 correct, as capsid and tegument proteins were in axons after Us9 null mutant infection

197 (Fig. 4). Thus, Us9 protein is required for appearance only of viral membrane proteins in  
198 axons, but not capsid or tegument proteins.

199 We now can understand to a first approximation why Us9 mutants cannot spread to post-  
200 synaptic cells in animals: critical membrane proteins such as gB known to be required for  
201 spread from neuron to neuron (Babic et al., 1993) do not move to axon terminals to promote  
202 viral spread. In addition, these data also support and extend the Cunningham model  
203 (Penfold et al., 1994). Viral membrane proteins not only are transported separately from  
204 capsids in axons, but also specific viral proteins regulate transport of membrane proteins.

## 205 4. Discussion

### 206 4.1. *The first analysis of anterograde movement of individual capsids in infected axons*

207 Viral components leaving the neuronal cell body move toward the plus-end of micro-  
208 tubules to reach the axon terminal (Smith et al., 2001). By direct observation of individual  
209 egressing capsids in axons of cultured sensory neurons, transport was found to be bi-  
210 directional with net transport in the plus-end direction. While capsid transport velocity was  
211 similar in both directions (average plus-end velocity = 2.0  $\mu\text{m/s}$ ; average minus-end  
212 velocity = 1.3  $\mu\text{m/s}$ ), transport in the plus-end direction was more frequent and processive  
213 (capsids tended to travel longer distances before stopping) than in the minus-end direction.  
214 Because individual capsids can reverse direction seemingly instantaneously (observations  
215 are currently limited to temporal resolutions of 10 frames/s), and in some instances at high  
216 frequency, capsids must simultaneously associate with both plus- and minus-end directed  
217 microtubule motors. Further work is required to determine if the plus- and minus-end  
218 motors associate with the capsid independently of each other (e.g. do they bind at separate  
219 penton vertices or do they bind as a complex?). In addition, the role of bi-directional  
220 movement during egress remains to be elucidated.

### 221 4.2. *Viral egress from infected neurons*

222 Based on experience with non-neuronal cell lines, we expected that virus would egress  
223 from the terminals and this event would be seen by capsids disappearing from the terminal.  
224 However, capsids accumulated at terminals and there was no apparent egress of virus. We  
225 determined that the lack of egress did not reflect lack of membrane proteins; Smith et al.  
226 (2001) observed that gB, gC, gE and gI were transported to and accumulated at terminals  
227 (see Fig. 4 in Smith et al. (2001)). Herpesviruses are often considered to spread from cell to  
228 cell by releasing infectious virions; however, propagation of infection can also occur by a  
229 less well understood mechanism of direct cell-to-cell transmission that is independent of  
230 the release of infectious virions from cells. If fully assembled virions do, in fact,  
231 accumulate in axon terminals, this may indicate that spread from the axon terminal is  
232 restricted to the direct cell-to-cell mechanism. In the absent of a target cell in close contact  
233 to the axon terminal, virions may be blocked for release. Thus, this fortuitous observation  
234 could provide important insights into the mechanism of direct cell-to-cell transmission, as  
235 well as viral spread in the vertebrate nervous system.

#### 236 4.3. Membrane proteins and capsids are often separate in axons

237 One model for how virions move from cell body into axons and then to axon terminals  
238 requires that virions be completely assembled in the neuronal cell body, engulfed by a  
239 transport vesicle, moved into axons, and transported long distances to the axon terminus for  
240 egress by exocytosis. An alternative model, proposed by Penfold et al. (1994), is that  
241 assembly of virions destined for the axon terminal does not take place in the cell body.  
242 Rather, viral membrane proteins are moved into axons and transported separately from  
243 virion capsid and tegument proteins. Assembly of infectious virions then occurs at or near  
244 the axon terminus. Our observations are compatible with the second model: by immuno-  
245 fluorescent microscopy, membrane proteins often do not colocalize with capsids in the  
246 axonal shaft (Fig. 3); by genetic analysis, Us9 mutants transport capsids and tegument into  
247 axons, but not viral envelope proteins.

#### 248 5. Conclusions

249 The work of Smith et al. (2001), as summarized in the first part of this report, represents  
250 the first direct measure of viral anterograde transport kinetics in infected neurons for any  
251 virus. Newly replicated individual herpesvirus capsids (~125 nm diameter) bearing  
252 multiple copies of the GFP can be visualized and tracked in infected neurons by laser-  
253 scanning confocal time-lapse microscopy. Viral transport in axons is bi-directional and  
254 highly processive (continues over large distances without stopping). Based on processivity  
255 measurements and rapid reversals of movement, both plus- and minus-end motors are  
256 likely to interact simultaneously with moving capsids and membrane proteins. Capsids  
257 travel long distances to ultimately accumulate at axon terminals, suggesting that virions do  
258 not bud out of cultured chick embryo sensory axon terminals by a cell autonomous  
259 mechanism.

260 Our studies of wild-type and mutant PRV infections in rats, mice, and chicken embryos  
261 demonstrates that directional spread of virus in the nervous system is regulated, in part, by  
262 several viral genes. In particular, our lab has focused on the gE, gI and Us9 proteins, all of  
263 which affect anterograde spread of virus in a defined neural circuit. Based in part on work  
264 presented here, we propose that at least two distinct processes are involved in directional  
265 spread: (1) movement of virion components into axons and long distance movement of  
266 these components to axon terminals; and (2) interactions between the infected axon  
267 terminals and connected cells that promote assembly, virus release, or both. The work of  
268 Tomishima and Enquist, described in the second part of this report, indicates that the small  
269 type II membrane protein called Us9 affects the first process: it promotes the movement of  
270 virion envelope (but not tegument or capsid) components into axons. The type I viral  
271 membrane proteins gE and gI also are required for directional spread in the nervous system  
272 (for review, see Enquist et al., 1998; Mettenleiter, 2000), but the mechanism for their action  
273 is distinct from that of Us9. We speculate that gE and gI affect the second process, spread  
274 between the infected neuron and cells in close contact based on known roles of these  
275 proteins in cell–cell spread between non-neuronal cells. gE expression also exacerbates  
276 symptoms and speeds time to death in vivo, but this phenotype is not correlated with virus

277 spread (Yang et al., 1999). Ongoing experiments with fluorescent protein fusions to Us9,  
278 gE, gI, and other membrane proteins, as well as capsid and tegument proteins enable us to  
279 test our predictions.

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